

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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 Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY/DOCKET NO./TITLE
09/441,271	11/16/99	MEYERS	S 6064-11

JOSEPH E LEVI
 ROGERS & WELLS LLP
 200 PARK AVENUE
 NEW YORK NY 10166-0153

0222/1214

NOT ASSIGNED

2774

DATE MAILED:

12/14/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130

☐ 1. The statutory basic filing fee is:

- ☐ missing
☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.

\$_____ for _____ independent claims over 3.

\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

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CERTIFICATE OF MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

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Signature: _____

CLIFFORD CHANCE ROGERS & WELLS LLP

R&W Docket No. 6064-11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Stephan Meyers, Hannu Nieminen
Serial No. : 09/441,271
Filing Date : November 16, 1999
For : TANGIBLY ENCODED MEDIA
IDENTIFICATION IN A BOOK COVER
Examiner : Not Yet Assigned
Group Art Unit : Not Yet Assigned

Assistant Commissioner for Patents
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Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith

☒ a copy of PTO Form 1533

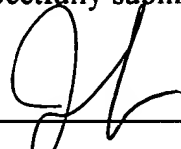
☒ a copy of executed Declaration, Power of Attorney & Petition

On February 2, 2000 a Preliminary Amendment and an executed Declaration were sent to the Patent and Trademark Office by Express Mail. PTO Form 1533 was inadvertently left out of the package. The Declaration was filed before the February 14, 2000 deadline so the charge is \$130.00. Copies of the Express Mail Receipt, executed Declaration and Transmittal Letter

authorizing the Commissioner to charge the Deposit Account 50-0521 are attached to this transmittal. Please place these in the file.

A duplicate of this transmittal letter is included with a postcard.

Respectfully submitted,



Joseph E. Levi
Reg. No.41,152
(212) 878-8564

MAILING ADDRESS

CLIFFORD CHANCE ROGERS & WELLS LLP
200 Park Avenue
New York, NY 10166-0153

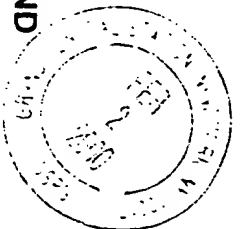


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JOSEPH E. LEVI, RSQ.
CLIFFORD CHANCE ROGERS & WELLS
200 PARK AVENUE
NEW YORK, NY 10166
6936/6064/11

ASSISTANT COMMISSIONER FOR
PATENTS
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FOR PICKUP OR TRACKING CALL 1-800-222-1811

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CERTIFICATE OF EXPRESS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

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Printed Name of Person Signing ROSALIE EMERSON

Signature: Rosalie Emerson

CLIFFORD CHANCE ROGERS & WELLS LLP

R&W Docket No. 6064-11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Stephan Meyers, Hannu Nieminen
Serial No. : 09/441,271
Filing Date : November 16, 1999
For : TANGIBLY ENCODED MEDIA
IDENTIFICATION IN A BOOK COVER
Examiner : Not Yet Assigned
Group Art Unit : Not Yet Assigned

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith:

☒ a Preliminary Amendment

☒ a Declaration, Power of Attorney & Petition

to be filed in the above referenced application.

FEE FOR ADDITIONAL CLAIMS

[X] A fee for additional claims is required. The additional fee has been calculated as shown below:

	Highest Number Previously Filed	Extra Claims Present	Rate	Fee
Total Claims	22	18	x \$18. =	\$324.00
Independent Claims	2	1	x \$78. =	0

[X] The Commissioner is hereby authorized to charge the required fee for the additional claims or any additional fees or credit any overpayments to Deposit Account 50-0521. A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,



Joseph E. Levi
Reg. No. 41,152
(212) 878-8564

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New York, NY 10166-0153